Non-lesional cerebellar damage in patients with clinically isolated syndrome: DTI measures predict early conversion into clinically definite multiple sclerosis

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ABSTRACT

Background: Today, no specific test for the diagnosis of multiple sclerosis (MS) is available due to the lack of characteristic symptoms at beginning. This circumstance also complicates estimation of disease progression. Recent findings provided evidence for early, non-lesional cerebellar damage in patients with (clinically definite) relapsing-remitting MS.

Objective: To investigate if microstructural cerebellar alterations can also serve as early structural biomarker for disease progression and conversion from clinically isolated syndrome (CIS) to MS.

Methods: 46 patients diagnosed with CIS and 26 age-matched healthy controls were admitted to high-resolution MRI including diffusion tensor imaging (DTI) to examine atrophy and microstructural integrity of the cerebellum. Microstructural integrity of cerebellar white matter was assessed by fractional anisotropy (FA) as derived from DTI.

Results: Although all 46 patients of our CIS cohort showed no cerebellar lesions in structural MRI (T1w, T2w, FLAIR), their mean cerebellar FA was already reduced compared to healthy controls. Significant FA reduction at follow-up DTI 6 months after baseline examination was observed. In 16 patients that converted to MS, we found a correlation between initial cerebellar FA and conversion latency (R = 0.71, p < 0.002). Initial cerebellar FA under FACrit = 0.352 predicted conversion into relapsing-remitting MS within 24 months (FAcrit: mean cerebellar FA of patients with early MS, determined in another study).

Conclusion: DTI seems to reflect early tissue injury in beginning MS, when atrophy and lesions are not yet detectable. Decreased cerebellar FA in patients with CIS might indicate an active and unstable disease stage, resulting in a shorter conversion time into MS.

1. Introduction

Relapsing-remitting multiple sclerosis (MS) occurs with a variety of different symptoms such as vision problems, changes in sensation, and muscle weakness (Miller et al., 2005b; Sospedra and Martin, 2005). These unspecific symptoms alone do not permit the diagnosis of MS. For diagnosis of MS according to the current McDonald criteria (Polman et al., 2011) magnetic resonance imaging (MRI) detectable lesions in the central nervous system are mandatory. Patients with clinical signs alone without dissemination of lesions in space and time are classified as clinically isolated syndrome (CIS) - a potential subacute phase of MS onset (Miller et al., 2005b).

The conceptual delimitation between CIS and MS is however problematic because patients with CIS frequently already demonstrate abnormalities in normal appearing white and grey matter indicating an advanced pathological state (Miller et al., 2005a). A relevant number of patients with CIS (30–70%) develop MS, where silent MRI lesions increase the likelihood (Miller et al., 2005b). Current McDonald criteria (Polman et al., 2011) and MAGNIMS revisions (Filippi et al., 2016; Montalban et al., 2010; Rovira et al., 2009; Swanton et al., 2006) focus on the relevance of MRI criteria, such as dissemination in space and time. Especially in context with the term dissemination in time, it seems interesting to consider lesion-preceding processes. Recent studies provided evidence that microstructural tissue alterations in normal...
appearing white matter (NAWM), in the following briefly microstruc
tural tissue alterations, can precede macroscopic lesions and white
matter (WM) tissue atrophy in patients with MS (Deppe et al., 2014;
Deppe et al., 2016a). The major problem with tissue alterations on a
micron level is that conventional MRI can fail to detect them (Deppe
et al., 2013). Instead, microstructure-sensitive MRI protocols like dif-
fusion tensor imaging (DTI) are necessary. The most prominent DTI
measure for quantitative assessment of microstructural alterations of
NAWM based on normal values is the fractional anisotropy (FA) (Deppe
et al., 2007).

The majority of recent studies on patients with MS investigated
cerebral white matter, although infratentorial white matter is also af-
fected. In the present study, we exclusively focused on cerebellar
changes because infratentorial tissue alterations are of special interest
due to their higher prevalence in MS compared to other inflammatory
diseases (Tobyn et al., 2017) and their prognostic relevance for dis-
ability progression (Minneboo et al., 2004; Rovira et al., 2009; Wattjes
et al., 2007). MS differential diagnoses like neuromyelitis optica (NMO)
(Fan et al., 2017), arterial hypertension, cerebral autosomal dominant
arteriopathy and Susac syndrome (Kleffner et al., 2008; Kleffner et al.,
2010; Kleffner et al., 2012) show MS-like symptoms, but less cerebellar
involvement.

Recently it was demonstrated by means of DTI that “normal ap-
pearing” cerebellar white matter could be damaged on a microscopic
level in a very early stage of MS without presenting macroscopic lesions in
T1w or T2w MRI (Deppe et al., 2016a; Deppe et al., 2016b). Interest-
estingly, this non-lesional microstructural damage preceded cerebellar
atrophy (Deppe et al., 2016b). Against this background, we hypothe-
sized that (1) patients with CIS have already decreased cerebellar WM
FA (used interchangeably: cerebellar FA). (2) The amount of decreased
initial cerebellar FA has a predictive value for disease progression,
namely the time to convert into (clinically definitive) MS. We further
speculated that microstructural disease activity as assessed by further
FA reduction at a follow-up MRI might be associated with (3) cerebellar
atrophy and (4) the patients’ expanded disability status scale (EDSS) –
indicating an advanced disease stage and ongoing disease activity.

2. Methods

2.1. Subjects

Forty-six consecutive patients (31 f, 15 m) with a CIS and without
evidence for cerebellar lesions were included in our study for high-re-
solution structural and diffusion weighted MRI at 3T (EDSS: range 0 to
3.5, median 1.0, lower quartile 1.0, upper quartile 2.0; disease dura-
tion: range 0.97 to 90.2 months, median 9.6 months, lower quartile
2.6 months, upper quartile 36.9, age: mean 39.3 y, range 23 y–56 y, SD
9.9 y). All 46 patients were diagnosed with CIS at their baseline MRI, 34
within relapsing-remitting MS (age: mean 38.5 y, range 24 y–56 y, SD
8.7 y) was admitted to a follow-up MRI ( Deppe et al., 2016a; Deppe et al.,
2016b). The conversion latency (time between conversion latency from
CIS to MS between 191 days and 1295 days). Thirteen patients did not get a 6 months follow-up MRI and did not
convert during the study. Thus, the data of these patients was only used
for testing hypothesis 1. Twenty- six age-matched neurologically and
psychiatrically healthy volunteers (19 f, 7 m) were included into the
study as healthy control (HC) group (age: mean 37.0 y, range 23–69 years, SD 13.8 years), for details see (Deppe et al., 2016b).

Written informed consent was obtained from all study participants.
The participants were also informed that the examination could reveal
potentially medically significant findings and given the option to re-
quest notification in the event of such findings. The interdisciplinary
committee of the University of Münster and the Westphalia-Lippe
Chamber of Physicians (Ärztekammer Westfalen-Lippe) approved all
examinations (ref. 2010-378-b-S).

2.2. MRI

All participants were scanned using a 3T Siemens MRI scanner and a
64-channel head/neck coil (Siemens AG, Erlangen, Germany) using the
same MRI parameters and protocols: 3D T1w MP-RAGE (192 sagittal
slices, FOV 256 mm, 1 mm isotropic resolution, GRAPPA 2) for cere-
bellum reconstruction and a diffusion weighted EPI protocol (36 axial
slices, FOV 230 mm, slice thickness 3.6 mm, resolution 1.8 mm × 1.8 mm,
20 gradient directions with b = 1000 s/mm², 5 × b = 0 s/mm², GRAPPA 2) for DTI. Scan time for the DTI protocol
was 3.5 min. In addition, a FLAIR protocol for lesion assessment was
applied (192 sagittal slices, FOV 256 mm, 1 mm isotropic resolution). A
3D MP-RAGE T1-weighted after intravenous gadolinium-DTPA (die-
ethylene triamine penta-acetic acid) 0.1 mmol/kg injection was applied
only for the patients with CIS. For further details see (Deppe et al.,
2016b). An experienced radiologist inspected all FLAIR images for
potential macroscopic lesions. Only patients without any structural
abnormalities were included in our study. In the subgroup of the 16
converted patients the conventional MRI protocol (T1w, T1w + Gd-
DTPA, FLAIR) built the basis for the diagnosis of (clinically definite)
MS.

2.3. DTI data post-processing

DTI data preprocessing including eddy current and movement cor-
correction, rigorous spatial registration, and smoothing has been described
elsewhere (Deppe et al., 2016a; Mohammadi et al., 2010). The regis-
tration algorithm provided rigorous iterative multicontrast registration
steps based on FA contrasts and b0 contrasts (b = 0 s/mm²), so that
volumetric effects in the resulting normalized FA maps were negligible
(Mohammadi et al., 2012). All registered diffusivity images corre-
sponded to the MNI (Montreal Neurologic Institute) coordinate space.
Smoothing of these images was performed by employing position-orien-
tative adaptive smoothing (POAS) (Becker et al., 2012; Becker et al.,
2014) using the ACID-toolbox (http://www.diffusiontools.org/) for
SPM (http://www.fil.ion.ucl.ac.uk/spm/). POAS was parameterized by
the following values: k* = 12, k0 = 0.8, λ = 10, σ = 8, N coils = 1. A
detailed explanation is given elsewhere (Becker et al., 2012; Becker et al.,
2014). The cerebral and cerebellar ROIs were generated from
spatially registered and averaged FA maps. Further details about image
processing are given elsewhere (Deppe et al., 2016b).

2.4. Estimation of lesion load, cerebral, cerebellar and fourth ventricle
volume

Prior to the automated analysis by FreeSurfer all 3D MPRAGE
images were intensity inhomogeneity corrected to reduce segmentation
errors using in-house software (EVAL 3.0; https://EVAL.app). Volume
of the cerebellum (CBWMV), intra-cranial volume (ICV) and white
matter lesion load (WMLL) were obtained from FreeSurfer (Version 5.1;
http://surfer.nmr.mgh.harvard.edu/) in the same way as described
elsewhere (Deppe et al., 2016b). The amount of automatically detected
hypo-intensities in the T1w images in units of mm³ served as a measure for WMLL. WM hyperintense lesions in T2w/FLAIR images were not considered because (i) we included only patients with no apparent T2w/FLAIR lesions and (ii) the main focus of the present study was the cerebellum. From the CBWMV (unit: mm³) and the absolute ICV (unit: mm³) we calculated the relative (percentage) cerebellar WM volume as CBWMV / ICV × 100%.

2.5. Statistical analysis

Testing our hypotheses, we employed general linear models (GLM, Statistica 10, Stat Soft. Inc., www.statsoft.com) for cerebellar FA and fourth ventricular volume against the time between first and second examination. All differences in EDSS, cerebellar FA, and cerebellar volume had been linearly adjusted to a time interval between first and second examination of six months. Conversion latency had been determined and compared to cerebellar FA as determined in the first examination. WM volumes, cerebellar FA reduction, and cerebellar atrophy of CIS patients were compared between first and second examination and between healthy controls using paired t-tests. We divided our cohort into a group with short conversion latency (< 730 days = 24 months) and in a second group of patients presenting long conversion latency (730–1600 days).

3. Results

3.1. Initial cerebellar FA correlates with conversion latency to MS

Our CIS cohort showed already at the first MRI a reduced mean cerebellar FA compared to healthy controls. The mean cerebellar FA in our present CIS collective (N = 46, mean FA = 0.336, SD = 0.024) corresponded well to the mean FA in the early MS cohort of our recent study (FA = 0.352, SD = 0.019) (Deppe et al., 2016b) and was significantly lower than in healthy controls (FA: mean: 0.368, lower quartile: 0.352, upper quartile: 0.381, SD = 0.026). In the 16 patients that converted to relapsing-remitting MS during the study, we found a significant correlation between conversion latency and cerebellar FA (linear regression analysis, dependent variable: Conversion Latency, factor: Initial Cerebellar FA, regression function: Conversion Latency/ days = −3722 + 12,298 × Initial Cerebellar FA, R² = 0.71, p < 0.002). Interestingly, all examined patients with cerebellar FA below FAcrit = 0.352 (the mean of patients with early relapsing-remitting MS, see Deppe et al., 2016b) converted to MS within an interval of < 24 months (Fig. 1). Based on this a priori critical FA value, which seemed to be representative for early relapsing-remitting MS, a corresponding contingency table revealed a sensitivity of 83% and a specificity of 100% to predict early conversion within 24 months from CIS to clinically definite MS (Table 1). Six subjects of the HC group also presented cerebellar FA below FAcrit = 0.352 (6 false positives), corresponding to an “overall specificity” of 20/26 = 0.77 that a cerebellar FA below FAcrit is associated with a neurological disease.

3.2. Cerebellar WM FA versus cerebral WM FA

The cerebellar FA and cerebral WM FA demonstrated a relatively strong correlation in the HC group (N = 45, RHC = 0.70, RH2 = 0.49, p < 10⁻⁶). This association between cerebral and cerebellar FA was decidedly lower (22% vs. 49% proportion of explained variance) in the patient group (N = 46, RCS = 0.47, RC2 = 0.22, p < 0.001).

3.3. Relative cerebellar WM volume

Consistent with our previous study (Deppe et al., 2016b), neither relative nor absolute cerebellar white matter volumes were significantly reduced in patients with CIS relative to the HC group. No significant cerebellar white or grey matter volume change within the six months interval was observed.

3.4. Cerebellar FA change between baseline and follow-up

A t-test for dependent samples as well as a non-parametric Wilcoxon matched pairs test showed congruently systematic decreases of FA between baseline and follow-up MRI in the group of patients with CIS at both MRIs (N = 24, p<0.05; pWilcoxon = 0.056). The relation between first and follow-up cerebellar FA values is shown in Fig. 2. Because the interval between first and second MRI was not always exactly 6 months (see section Subjects), the FA difference had been linearly adjusted. No age or gender dependency of the 6 months FA change could be observed. For details of the individual 6 months FA differences see Fig. 3.

3.5. Cerebellar FA reproducibility

Beside the systematic FA decrease, we found a good over-all reproducibility between the baseline and six months follow-up cerebellar FA values in the patients with CIS (diagnosis of CIS also at follow up), which differed typically less than ± 3% (Fig. 4). Higher FA reductions reflected relatively high volumes of the fourth ventricle in relation to the HC group. We observed a weak correlation (R = 0.46, p = 0.023) between initial fourth ventricular volume and the amount of cerebellar FA decrease between first and second examination.

3.6. EDSS and cerebellar white matter change

A correlation between initial EDSS and cerebellar white matter change during the 6 months follow-up interval was found (N = 24, R = 0.50, p = 0.012). In none of the patients a relevant exacerbation of clinical symptoms (EDSS increase > 1 unit) was observed.

3.7. No evidence for macroscopic MRI alterations

The average volume of WM hypointensities (WMLL) did not differ between first and second examination (r = 0.89, p = 0.38) and also not between patients that converted and not converted from CIS to MS within the observation interval (r = 0.30, p = 0.77). All estimated volumes did not significantly differ from the healthy control cohort.

4. Discussion

Demyelination and neuroinflammation are of central interest in many disorders of the nervous system. However, MRI frequently fails to detect early active demyelination processes prior to lesion occurrence, as observed in a number of imaging studies involving diffusion weighted imaging (Deppe et al., 2016b). Recent studies, see introduction, underlined the importance of cerebellar changes in early MS stages to differentiate between MS and other neuroinflammatory diseases, because the latter show typically less cerebellar involvement. Here we demonstrate significantly reduced cerebellar FA in patients with an early CIS who showed no indication for cerebellar degeneration by visually inspecting conventional MRIs. In our cohort, an initial FA under FAcrit = 0.352 predicted a conversion into (clinically definite) relapsing-remitting MS within the next two years (Hypothesis 1, Fig. 1) with reasonable sensitivity and specificity (ref. Table 1). Our objective was not to derive the critical FA value (FAcrit) by the present data, but to employ an a priori threshold representative for relapsing-remitting MS. Thus, we used the mean cerebellar FA of patients with early RRMS (FARRMS = 0.352) as determined in our previous study on cerebellar FA (Table 2, Deppe et al., 2016b). All our “early converters” demonstrated an initial cerebellar FA below FAcrit and seemed to have already microstructural white matter alterations typical for early (relapsing-remitting) MS. Thus, our study sets a new spotlight to the time point of diagnosis. The group of patients with short conversion latency appeared
Some methodological issues should be addressed with respect to this study. First, we would need the verification of the observed effects in larger samples of patients with CIS. Second, methodological factors, such as scanner characteristics, head coil properties, employed DTI software, patient motion, partial volume effects, and motion artifacts caused by pulsation of the cerebrospinal fluid, might also influence the reproducibility and comparability of data. However, the present findings on the 24 patients that were examined twice demonstrate reasonable reproducibility of cerebellar FA values by the present, clinically applicable setting. In most of the patients the FA values differed less than ± 3% between first and second DTI (ref. Figs. 2 and 4). All higher FA discrepancies reflected always FA reductions that in turn were mainly associated with other clinical signs (increased EDSS) or short conversion times.

Why did we restrict our presented results mainly to the cerebellum and did not discuss the cerebellar alterations in context of cerebral white matter FA? According to the considerations given in the introduction, the first reason was that this study was intended as direct follow-up and validation of our previous findings on patients with early MS, now with the focus on patients with even earlier (subclinical) MS. The second reason was, that in a tentative analysis of cerebral FA, it turned out that different WM regions (e.g. corpus callosum, juxtacortical WM) have different predictive power, while the average over-all cerebral WM FA was less sensitive than the cerebellar FA. Thus, a systematic study on the effective predictive power of early cerebral WM FA reductions should not be restricted to the average cerebral WM but must account for the heterogeneous nature and sensitivity/specificty of cerebral WM. Such a thoroughgoing investigation with many regions of interest would need a much larger cohort of patients with CIS to account for the higher number of observables. The third reason was that we think that in MS infratentorial WM should be considered with special focus per se, because the integrity seemed to be much more decoupled between cerebral and cerebellar WM in patients with CIS (MS) compared to HC (compare section Cerebellar WM FA versus Cerebral WM FA: $R^2_{HC} = 0.49$, $R^2_{CIS} = 0.22$).

While the FA had been established as a robust microstructure sensitive MRI marker in a vast amount of recent DTI studies, other diffusion parameters might be also interesting for future research. Translational research investigating axial diffusivity (AD) and radial diffusivity (RD) in combination with histological findings are from a theoretical point of view more predestinated to distinguish different underlying disease mechanisms and tissue alterations. In this context, it should be pointed out that currently all diffusion parameters are not specific for MS or other inflammatory diseases. They generally only reflect changes in water mobility that might be caused by (pathological) tissue changes caused by demyelination or neurodegeneration on the micron scale. Thus, changes or abnormalities in diffusion parameters, especially FA reductions, should always be interpreted in context with clinical signs and a tentative diagnosis. In other words, if the cerebellar FA of a (healthy) volunteer or patient with CIS is below FA crit, it does not automatically mean that this subject will convert into MS in the next future (compare 77% “over-all specificity” without neurological findings). All results shown in Fig. 1 and Table 1 refer exclusively on patients with an actually beginning MS that was only transiently diagnosed as CIS at the time of DTI.

Our study design and our results did also not permit to predict a “stable CIS course”, i.e. no conversion into MS over a (life-) long period, because we compared only patients ($N = 16$) that actually converted into MS during our study according to their individual conversion latencies. To target the question about predicting stable CIS courses, further research would be necessary that employs DTI for long-term observations to compare actual (long-term/lifelong) “non-converters” with “converters”.

### Table 1

<table>
<thead>
<tr>
<th>Observation test</th>
<th>Early conversion (≤ 24 months)</th>
<th>Late conversion (&gt; 24 months)</th>
</tr>
</thead>
<tbody>
<tr>
<td>$\text{FA} \leq \text{FA}_{\text{crit}}$ (test positive)</td>
<td>10 (TP)</td>
<td>0 (FP) Positive: 10</td>
</tr>
<tr>
<td>$\text{FA} &gt; \text{FA}_{\text{crit}}$ (test negative)</td>
<td>2 (FN)</td>
<td>4 (TN) Negative: 6</td>
</tr>
</tbody>
</table>

(mean HC = 0.49, $R^2_{HC} = 0.49$, $R^2_{CIS} = 0.22$).
Given that the pathological processes exacerbate by time, we linearly adjusted the temporal FA change between MRI check-ups to a 6 months interval in order to account for different observation intervals. We further could exclude any confounding effects by age on the 6-months FA change (Fig. 3). It has previously been difficult to validate directly the connection between FA, microstructural alterations, and exacerbation of clinical symptoms due to a lack of alternative (in vivo) gold standard methods providing similar data in humans, as well as the relative paucity of animal imaging data. In addition, it should be noted that neither our study nor any others of which we are aware have produced insights into how inflammation related biochemical processes, demyelination, and MRI measures actually interrelate. However, the found 6 months FA changes might most likely reflect functionally relevant alterations of the nervous system, because we found a relation between patients’ EDSS and 6 months cerebellar FA reduction (Fig. 5).

The importance of fourth ventricular enlargement as one of the earliest MRI predictors for MS disease progression has been observed in a number of imaging studies (Lukas et al., 2010; Schneider et al., 2017). The abnormalities of fourth ventricular volume exclusively appeared in patients with MS prior to symptom occurrence and lesion visibility in T2 MRI (Lepore et al., 2013) compared to healthy controls (Schneider et al., 2017). These findings inspired our third hypothesis that disease activity as assessed by FA change might correlate with cerebellar atrophy. This hypothesis was supported by a correlation between

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**Fig. 2.** Cerebellar FA of first DTI (baseline) versus second DTI (six months follow-up) of the 24 patients with CIS at both examinations.

**Fig. 3.** FA difference between first DTI (baseline) and follow-up. Because the interval between first and second MRI was not always exactly 6 months, the FA difference had been linearly adjusted.
cerebellar FA and fourth ventricular volume (Fig. 4). A higher initial volume compared to healthy controls as sign of early cerebellar atrophy corresponded to a higher rate of cerebellar FA change and shorter conversion time into (clinically definite) MS. Interestingly, cerebellar FA changed throughout the 6 months period as sign of disease progression, whereas fourth ventricular volume remained unchanged. With regard to our findings, it seems to be important to emphasize that both, cerebellar FA and fourth ventricular volume, appeared to be suitable early markers for MS. Note, however, that only cerebellar FA seemed to be sensitive enough to reflect dynamic changes within a 6 months interval and thus might indicate disease progression. It is very likely that this progression might cause the symptoms in the near future required for the diagnosis of relapsing-remitting MS.

Our findings might be relevant for future research regarding the importance of expanding diagnostic criteria towards inclusion of microstructural alterations when macroscopic alterations are not yet detectable. DTI seems to be a valid and reliable tool to detect changes on a microscopic scale that are not always detectable by conventional MRI techniques. To further investigate these findings, patients with initial symptoms alone should be admitted to DTI when receiving their first MRI. The initial microstructural status can help to classify the underlying disease mechanism and therefore accelerate the start of adequate medical treatment.
5. Conclusion

Patients with CIS who exhibit no abnormality using conventional MRI show evidence of widespread alterations of cerebellar white matter FA, suggestive of a loss of local nerve fiber integrity. The ability to detect and visualize these microstructural changes could have significant implications for the study of patients in early MS stages and for investigation of disorders associated with water diffusion abnormalities. Therefore, patients with decreased initial cerebellar FA and increased fourth ventricle volume might be expected to show greater disease activity. The present results raise but do not answer a more general question concerning the relevance of particular imaging markers for the diagnosis of MS. Further research is required to determine the etiology of microstructural alterations to permit an earlier date of diagnosis and an advanced start of medical treatment. Finally, this study provides convincing evidence for the hypothesis of hidden active disease processes in patients with CIS by a mismatch between macroscopic white matter lesions and microstructural alterations.

Conflict of interest

The authors report no potential conflicts of interest, including financial interests, activities, relationships, and affiliations.

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